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## **Nocturnal heart rate variability in obstructive sleep apnoea: a cross-sectional analysis of the Sleep Heart Health Study**

Bradicich, Matteo ; Sievi, Noriane A ; Grewe, Fabian A ; Gasperetti, Alessio ; Kohler, Malcolm ;  
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**Abstract:** Background: Obstructive sleep apnoea (OSA) results in sympathetic overdrive. Increased nocturnal heart rate variability (HRV) is a surrogate marker of autonomic disturbance. The aim was to study the association of the apnoea-hypopnea index (AHI), nocturnal hypoxaemia, and sleep fragmentation with nocturnal HRV to address the pathophysiological mechanisms underlying autonomic disturbance in OSA. **Methods:** Participants of the Sleep Heart Health Study with available data on nocturnal HRV and an AHI 10/h have been included in this cross-sectional analysis. The main outcome of interest was the association of sleep fragmentation, nocturnal hypoxaemia, and the AHI with nocturnal HRV. Multivariate regression modelling with the mean of the standard deviations of normal-sinus-to-normal-sinus-interbeat intervals in all 5-minute segments (SDNNIDX) and with low to high frequency power-ratio (LF/HF) as dependent variables controlling for prespecified confounders (age, sex, cups of coffee, beta blocker, nocturnal heart rate) was used to assess the contribution of the arousal index, total sleep time with an oxygen saturation <90% (TST90) and the AHI not due to arousals to HRV. The significance level was set at  $P < 0.01$ . **Results:** In 258 patients with OSA (mean  $\pm$  SD age  $62 \pm 10$  years, BMI  $29 \pm 4$  kg/m<sup>2</sup>, median (IQR) AHI 18.6/h (14.0–25.6), the arousal index (coef = 0.42,  $P = 0.002$ ) was independently positively associated with SDNNIDX also after having controlled for potential confounders, whereas the AHI (coef = 0.22,  $P = 0.030$ ) and TST90 (coef = 0.36,  $P = 0.054$ ) were not. The arousal index—but not TST and AHI—was also independently associated with LF/HF. **Conclusions:** In OSA, pronounced sleep fragmentation is associated with higher nocturnal HRV and a sympatho-vagal imbalance with sympathetic dominance. OSA severity and nocturnal hypoxaemia did not independently predict nocturnal HRV. **Keywords:** Obstructive sleep apnoea (OSA); heart rate variability (HRV); arousal index; intermittent hypoxia; sleep fragmentation

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# Nocturnal heart rate variability in obstructive sleep apnoea: a cross-sectional analysis of the Sleep Heart Health Study

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**Background:** Obstructive sleep apnoea (OSA) results in sympathetic overdrive. Increased nocturnal heart rate variability (HRV) is a surrogate marker of autonomic disturbance. The aim was to study the association of the apnoea-hypopnea index (AHI), nocturnal hypoxaemia, and sleep fragmentation with nocturnal HRV to address the pathophysiological mechanisms underlying autonomic disturbance in OSA.

**Methods:** Participants of the Sleep Heart Health Study with available data on nocturnal HRV and an AHI  $\geq 10$ /h have been included in this cross-sectional analysis. The main outcome of interest was the association of sleep fragmentation, nocturnal hypoxaemia, and the AHI with nocturnal HRV. Multivariate regression modelling with the mean of the standard deviations of normal-sinus-to-normal-sinus-interbeat intervals in all 5-minute segments (SDNNIDX) and with low to high frequency power-ratio (LF/HF) as dependent variables controlling for prespecified confounders (age, sex, cups of coffee, beta blocker, nocturnal heart rate) was used to assess the contribution of the arousal index, total sleep time with an oxygen saturation  $<90\%$  (TST90) and the AHI not due to arousals to HRV. The significance level was set at  $P < 0.01$ .

**Results:** In 258 patients with OSA (mean  $\pm$  SD age  $62 \pm 10$  years, BMI  $29 \pm 4$  kg/m<sup>2</sup>, median (IQR) AHI 18.6/h (14.0–25.6), the arousal index (coef = 0.42,  $P = 0.002$ ) was independently positively associated with SDNNIDX also after having controlled for potential confounders, whereas the AHI (coef = 0.22,  $P = 0.030$ ) and TST90 (coef = 0.36,  $P = 0.054$ ) were not. The arousal index—but not TST and AHI—was also independently associated with LF/HF.

**Conclusions:** In OSA, pronounced sleep fragmentation is associated with higher nocturnal HRV and a sympatho-vagal imbalance with sympathetic dominance. OSA severity and nocturnal hypoxaemia did not independently predict nocturnal HRV.

**Keywords:** Obstructive sleep apnoea (OSA); heart rate variability (HRV); arousal index; intermittent hypoxia; sleep fragmentation

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## Introduction

Obstructive sleep apnoea (OSA) defined by an elevated apnoea-hypopnoea-index (AHI) is highly prevalent in the adult population (1,2). However, only a part of this OSA population has a clinically relevant OSA that indicates treatment based on symptoms, sleep quality, or cardiovascular comorbidities. There are different phenotypes with different symptom burden and different cardiovascular effects of OSA (3-5). There is a poor correlation between OSA severity based on the AHI and symptoms. However, in epidemiological studies, OSA severity was an independent predictor of cardiovascular consequences with a higher likelihood of hypertension and cardiovascular events in severe OSA in longitudinal studies (6-9). OSA is characterised by recurrent episodes of partial or complete upper airway obstruction during sleep, leading to nocturnal intermittent hypoxia, arousals, intrathoracic pressure oscillations, and hypercapnia, resulting in increased sympathetic activity and disturbed autonomic balance. Nocturnal intermittent hypoxia has been suggested as the most relevant underlying mechanism of sympathetic overdrive and elevated blood pressure in OSA models (10,11). However, the role of sleep fragmentation in increasing sympathetic activity has been discussed as well (12-14).

The role of the AHI and the oxygen desaturation index (ODI) as conventional OSA severity markers in explaining cardiovascular effects of OSA is currently under discussion, probably due to their neglect of some crucial pathophysiologic factors that do not allow them to be used as marker of autonomic imbalance (15,16). Autonomic disturbance induced by OSA might be an additional factor of prognostic relevance in OSA.

In order to assess the pathophysiologic interplay between OSA and sympathetic override, the autonomic nervous system has been investigated directly by means of microneurography as well as indirectly through baroreflex sensitivity, cold pressor test, handgrip test, measurement of urinary catecholamine excretion, and heart rate variability (HRV) analysis during sleep or wake (14). Nocturnal HRV is a surrogate marker of autonomic regulation and sympatho-vagal balance during sleep, and HRV has been shown to be altered in OSA. The prognostic role of nocturnal HRV regarding cardiovascular outcomes is currently under investigation (17). Moreover, it is acknowledged that stress is correlated with an increase in HRV during non-rapid eye movement (NREM) sleep, which represents the main

part of sleep (13,18). Among patients with OSA, a raise in HRV during sleep is probably related to the adrenergic bursts caused by intermittent hypoxia and arousals as well as intrathoracic pressure swings (14,19). In addition, a decreased baroreflex control has been shown in OSA (20,21), potentially also in association with hypertension. However, whether nocturnal intermittent hypoxia is independently associated with changes in HRV or whether it acts on sympathetic activation by triggering arousals is less clear.

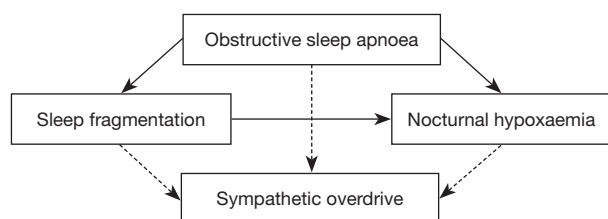
In this cross-sectional analysis, sleep fragmentation and nocturnal hypoxia have been studied as potential HRV modulators in a real-life population of subjects with OSA in order to assess the role of the pathophysiological mechanisms involved in OSA on autonomic imbalance.

The main hypothesis of this study was that in OSA, both markers of sleep fragmentation and nocturnal hypoxaemia are associated with an increase in nocturnal HRV (*Figure 1*). We present the following article in accordance with the STROBE checklist (available at <http://dx.doi.org/10.21037/jtd-cus-2020-005>).

## Methods

### Data source

The Sleep Heart Health Study (22) enrolled men and women aged 40 years and older to undergo a polysomnography (PSG) between 1995 and 1998. The data of the polysomnographic recording of these participants has been used to conduct HRV analysis (n=486). These data were available for download from the website of the National Sleep Research Resource (<https://sleepdata.org>). The download and usage of the data has been authorised by The Brigham and Women's Hospital through its Division of Sleep and Circadian Disorders (Boston, MA, USA) after the submission and acceptance of a Data Access and Use Agreement containing the details of this cross-sectional analysis. The National Sleep Research Resource offers free access to large collections of de-identified physiological signals and clinical data elements collected in well-characterised research cohorts and clinical trials and is supported by Grant Number HL114473 from the National Heart, Lung, and Blood Institute, National Institutes of Health (USA). The study was conducted in accordance with the Declaration of Helsinki and the Harmonized Tripartite Guideline for Good Clinical Practice from the International Conference on Harmonization. This study was reviewed and approved by the institutional review boards of each site



**Figure 1** Interplay between sleep fragmentation, intermittent nocturnal desaturation, and sympathetic override in OSA. The dotted lines represent the investigated relationship between OSA, arousals, intermittent nocturnal desaturations, and sympathetic activation. OSA, obstructive sleep apnoea.

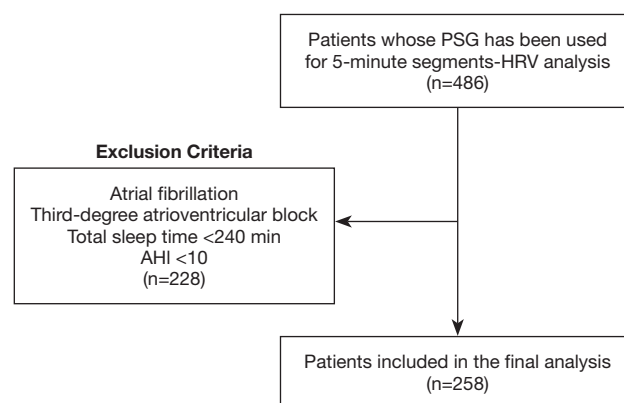
of the SHHS centres. All patients enrolled in the SHHS completed the informed consent form.

### Eligibility criteria

Participants from the initial cohort have been excluded if they either presented with or reported atrial fibrillation or a higher degree atrioventricular block at the time of PSG, since these arrhythmias affect HRV analysis. Furthermore, only participants with a total sleep time of at least 240 minutes and OSA defined by an AHI  $\geq 10$  episodes per hour of sleep have been included. The threshold of 10 events per hour has been chosen in order to exclude borderline AHI values that might not reflect OSA (23–28). AHI was defined as the number of all apnoeas and hypopnoeas associated with an oxygen desaturation of at least 3% or an arousal per hour of sleep (29). For the definitions of the measurement methods please refer to the original paper (22) or the study protocol available on the website of the National Sleep Research Resource. According to the eligibility criteria, data of 258 participants were available for the analysis (Figure 2).

### Extracted data

The following data were extracted for this analysis: age, sex, ethnicity, BMI, neck circumference, presence of atrial fibrillation, hypertension, heart failure, types of antihypertensive drugs, diabetes mellitus, smoking, pack years, cups of coffee within four hours before the sleep study, apnoea-hypopnea-index (3% & 4% dips) with and without arousals, % time with  $\text{SpO}_2 < 90\%$ , mean nocturnal  $\text{SpO}_2$ , sleep stages, time in bed, sleep efficiency, arousal index, blood pressure, heart rate, measures of HRV (normal



**Figure 2** Patient flow. AHI, apnoea-hypopnea index; HRV, heart rate variability.

sinus-to-normal sinus interbeat intervals in all 5-minute segments, ratio of low to high frequency power).

### Outcomes

The main outcome of interest was the association of different pathophysiological features of OSA derived from PSG parameters—the arousal index, the percentage of the total sleep time with an oxygen saturation below 90% (TST90), and the AHI based on apnoeas and hypopnoeas associated with 3%-oxygen desaturations and not on arousals—with nocturnal HRV assessed in the time domain by normal sinus-to-normal sinus interbeat (NN) intervals in all 5-minute segments (SDNNIDX). This reflects mainly short-term HRV influenced by the autonomic nervous system.

A secondary outcome of interest was the association of these three PSG-derived parameters with nocturnal HRV in the time-frequency domain, quantified with the ratio of low to high frequency power (LF/HF) as marker of sympathetic to parasympathetic balance. The low and high frequency power reflect the NN interval spectral power between 0.04 and 0.15 Hz and between 0.15 and 0.4 Hz, respectively. The HF is suggested to represent vagal modulation and is more affected by breathing (30).

### Statistical analysis

In order to test the main hypothesis, we conducted a multiple regression analysis using SDNNIDX as dependent variable, expressed in ms. The HRV analysis of consecutive 5 minute-segments, whose results were downloaded from

**Table 1** Baseline characteristics of the analysed cohort

Variable	Data of the cohort
N	258
Age, y	62.3±9.8
Female, n (%)	105 [41]
BMI, kg/m <sup>2</sup>	28.5±4.2
Beta-blocker therapy, n (%)	12 [5]
Cups of coffee before bedtime, n	0.06±0.42
Systolic office blood pressure, mmHg	124.6±17.2
Diastolic office blood pressure, mmHg	73.8±10.0
Arousal Index, n/h - median (IQR)	19.0 (13.9–25.5)
TST90, % - median (IQR)	0.5 (0.04–2.0)
Total Sleep Time, min	375±50
AHIa, n/h - median (IQR)	18.6 (14.0–25.6)
AHI, n/h - median (IQR)	14.9 (9.6–21.3)
AHIa 10–14.9/h, n (%)	82 (31.8)
AHIa 15–29.9/h, n (%)	130 (50.4)
AHIa >30/h, n (%)	46 (17.8)
SDNNIDX, ms - median (IQR)	52.0 (40.8–65.6)
LF/HR - median (IQR)	2.2 (1.3–3.4)

If not otherwise specified, data are shown as mean ± SD. AHI, apnoea-hypopnea index with hypopnoeas based on 3%-oxygen desaturations only; AHIa, apnoea-hypopnoea index with hypopnoeas based on both 3%-oxygen desaturations and arousals. IQR, interquartile range; SD, standard deviation; TST90, total sleep time with peripheral oxygen saturation below 90%; SDNNIDX, mean of the standard deviations of normal sinus-to-normal sinus interbeat (NN) intervals in all 5-minute segments; LF/HF, low to high frequency power.

the website of the National Sleep Research Resource (<https://sleepdata.org>), has been conducted restricting the analysis to interbeat (NN) intervals below 2.5 seconds, and to only 5 minute-windows with at least 150 normal sinus beats. There was no overlap between the analysed 5-minute segments. To address the role of different aspects of OSA in nocturnal HRV, three different models with SDNNIDX (HRV) as dependent variable were created including either the arousal index as marker of sleep fragmentation, the TST90 as marker of nocturnal hypoxaemia, or the AHI not due to arousals (comparable to ODI as marker of intermittent hypoxia and conventional OSA severity) as independent variables, each model controlling for

age, sex, use of beta-blockers, number of cups of coffee within 4 hours before bedtime, and heart rate. Since the ODI was not available in the dataset, the AHI based on 3%-desaturations only and not on arousals was used as estimate of intermittent nocturnal hypoxaemia. The same regression analyses were performed using LF/HF ratio as dependent variables.

Residual analysis of the models has been performed to check the regression assumption. The inclusion of the pre-specified controlling variables has been motivated according to current literature and physiology evidence.

Descriptive statistics were reported across the patient sample, with means and standard deviation (SD) for continuous variables as well as numbers and percentages for categorical variables.

Considering an overall  $\alpha$  significance level of 0.05 and four regression models, a two-sided P value below 0.01 has been considered statistically significant according to a Bonferroni correction.

Statistical analyses have been performed with Stata/SE 15.1 (TX, USA).

### Other relevant information

The study has been conducted according to the Strengthening the reporting of observational studies in epidemiology (STROBE) Statement for cross-sectional studies (31).

## Results

The cohort consisted of 258 middle-aged (mean ± SD age of 62.3±9.8 years) men (59%) and women (41%) with overweight (mean ± SD BMI of 28.5±4.2 kg/m<sup>2</sup>) with mostly mild to moderate obstructive sleep apnea (AHI >30/h in 18%) without relevant hypoxic burden.

The baseline characteristics of the cohort are reported in *Table 1*.

The regression models studying the association of the arousal index as marker of sleep fragmentation, TST90 as marker of nocturnal hypoxaemia, and the AHI not based on arousals as marker of OSA severity and as surrogate marker of intermittent hypoxaemia (since ODI was not available) with nocturnal HRV (SDNNIDX) used age, sex, beta blocker therapy, cups of coffee within 4 hours before bedtime, and mean nocturnal heart rate as prespecified covariates. A statistically significant positive association between the arousal index and nocturnal HRV as dependent variable (SDNNIDX) was found also after having controlled



**Table 2** Multiple linear regression analysis between SDNNIDX and the arousal index

SDNNIDX, ms	Regression coefficient	Confidence interval	P value
Arousal Index, n/h	0.42	0.16 to 0.68	0.002
Age, y	−0.28	−0.53 to −0.02	0.036
Female sex	−5.06	−10.06 to −0.07	0.047
Beta-blocker therapy	−7.78	−18.82 to 3.25	0.166
Cups of coffee before bedtime, n	6.22	0.41 to 12.04	0.036
Heart rate, bpm	−1.21	−1.51 to −0.91	<0.001

Adjusted  $R^2=0.29$ . SDNNIDX, mean of the standard deviations of normal sinus-to-normal sinus interbeat (NN) intervals in all 5-minute segments.

**Table 3** Multiple linear regression analysis between SDNNIDX and TST90

SDNNIDX, ms	Regression coefficient	Confidence Interval	P value
TST90, %	0.40	0.04 to 0.76	0.029
Age, y	−0.32	−0.58 to −0.06	0.017
Female sex	−4.81	−9.85 to 0.24	0.062
Beta-blocker therapy	−9.18	−20.28 to 1.92	0.105
Cups of coffee before bedtime, n	5.36	−0.46 to 11.17	0.071
Heart rate, n/min	−1.27	−1.57 to −0.96	<0.001
Total sleep time, h	−0.04	−0.09 to 0.01	0.080

Adjusted  $R^2=0.27$ . SDNNIDX, mean of the standard deviations of normal sinus-to-normal sinus interbeat (NN) intervals in all 5-minute segments; TST90, total sleep time with peripheral oxygen saturation below 90%. In this model, total sleep time has been added as a controlling variable since TST90 is not a frequency index.

for the above listed confounding factors with a coefficient of 0.42 (95% CI, 0.16–0.68),  $P=0.002$  (Table 2). Using a level of significance of  $P<0.01$ , there was no evidence for a statistically significant association between TST90 [coef. 0.40 (95% CI, 0.04–0.76),  $P=0.03$ ; see Table 3] nor between the AHI not due to arousals [coef. 0.22 (95% CI, 0.02–0.41),  $P=0.03$ ; see Table 4] and nocturnal HRV (SDNNIDX) after controlling for confounders.

In a regression model including all three parameters of interest—the arousal index, TST90, and the AHI not due to arousals—controlling for age, sex, use of beta blockers, cups of coffee within four hours before bedtime, and heart rate, the arousal index was the only variable independently associated with nocturnal HRV (SDNNIDX) among the analysed dependent variables [coef. 0.37 (95% CI, 0.08–0.65),  $P=0.01$ ; see Table 5].

The regression models using the LF/HF ratio as dependent variable and controlling for the same covariates

as above, demonstrated a statistically significant independent association between the arousal index and the LF/HF ratio [coef. 0.03 (95% CI, 0.01–0.06),  $P=0.004$ ]. There was no evidence for an independent association between TST90 [coef. −0.03 (95% CI, −0.06–0.00),  $P=0.09$ ] and the LF/HF ratio nor between the AHI not due to arousals and the LF/HF ratio [coef. −0.01 (95% CI, −0.03–0.01),  $P=0.34$ ].

## Discussion

In this cross-sectional analysis of a subpopulation of the Sleep Heart Health Study with OSA, the impact of sleep fragmentation, nocturnal hypoxaemia, and OSA severity on short-term nocturnal HRV has been studied. The arousal index was independently associated with an increased HRV in both the time domain (SDNNIDX) and the time-frequency domain (LF/HF ratio) as the best predictor of HRV out of different sleep-study derived parameters

**Table 4** Multiple linear regression analysis between SDNNIDX and the AHI not due to arousals

SDNNIDX, ms	Regression coefficient	Confidence interval	P value
AHI, n/h	0.22	0.02 to 0.41	0.030
Age, y	-0.27	-0.52 to -0.11	0.041
Female sex	-4.84	-9.93 to 0.25	0.062
Beta-blocker therapy	-9.76	-20.91 to 1.40	0.086
Cups of coffee before bedtime, n	5.32	-0.52 to 11.16	0.074
Heart rate, n/min	-1.20	-1.50 to 0.90	<0.001

Adjusted  $R^2=0.27$ . AHI, apnoea-hypopnoea index with hypopnoeas based on 3%-oxygen desaturations only (not on arousals). SDNNIDX, mean of the standard deviations of normal sinus-to-normal sinus interbeat (NN) intervals in all 5-minute segments.

**Table 5** Multiple linear regression analysis between SDNNIDX and arousal index, TST90, and AHI not due to arousals

SDNNIDX, ms	Regression coefficient	Confidence interval	P value
Arousal index, n/h	0.37	0.08 to 0.65	0.011
TST90, %	0.30	-0.10 to 0.70	0.141
AHI, n/h	0.03	-0.20 to 0.27	0.768
Age, y	-0.31	-0.57 to -0.05	0.018
Female sex	-4.68	-9.74 to 0.37	0.069
Beta-blocker therapy	-8.66	-19.75 to 2.43	0.125
Cups of coffee before bedtime, n	5.99	0.18 to 11.80	0.043
Heart rate, n/min	-1.25	-1.55 to -0.95	<0.001

Adjusted  $R^2=0.30$ . SDNNIDX, mean of the standard deviations of normal sinus-to-normal sinus interbeat (NN) intervals in all 5-minute segments; TST90, total sleep time with peripheral oxygen saturation below 90%; AHI, apnoea-hypopnoea index with hypopnoeas based on 3%-oxygen desaturations only (not on arousals).

representing sleep fragmentation, nocturnal hypoxaemia, and OSA severity. This suggests that sleep fragmentation is associated with autonomic cardiac imbalance during sleep in patients with OSA. An increase of 1 arousal per hour during the night was associated with a proportional increase of 0.42 ms of SDNNIDX, an estimate of HRV. Considering a median of 19 arousals per hour in the analysed cohort, a median increase of 8 ms of SDNNIDX can be estimated over the night according to the regression model, which represents an increase of 15% in nocturnal SDNNIDX (median 52 ms). Measures of nocturnal hypoxaemia and OSA severity (TST90 and AHI not based on arousals, respectively) were not independently associated with nocturnal HRV in this cohort including patients with mild, moderate, and severe OSA that, however, consisted overall mainly of mild and moderate OSA (>80%). Considering that a dose-response relationship between AHI and HRV

has been observed (14,32), our findings suggest that this might mainly be explained by respiratory events associated with arousals (33).

Nocturnal short-term HRV has been used as surrogate marker for autonomic cardiac regulation and sympatho-vagal balance during sleep (17,34,35). The autonomic nervous system modulates cardiac function during sleep. Physiologically, there is a gradually increasing vagal dominance during NREM sleep stages 1-3 but a higher sympathetic activity during REM sleep (36,37). In OSA, there is a shift of the sympatho-vagal balance towards sympathetic predominance (38). However, HRV during sleep in OSA cannot be solely attributed to autonomic neural regulation. Blood pressure surges during obstructive respiratory events influence heart rate via the baroreflex and respiration via parasympathetic-mediated respiratory sinus arrest. Moreover, enhanced chemoreflexes and non-neural



factors like intrathoracic pressure oscillations play a role as well (37). Overall, the pattern of HRV is altered in OSA, but different changes have been described.

When interpreting HRV, attention should be paid to the differences between 24-hour HRV and short-term HRV during sleep based on 5-minutes-intervals—they are not interchangeable. Autonomic cardiac control is regulated differently during wake and during different sleep stages. A decreased long-term HRV (24 h) and an increased LF/HF ratio are seen in hypertension and the latter has been described in OSA as well (39,40). A decreased HRV over 24 hours has been suggested as negative prognostic marker in cardiovascular disease (41). However, nocturnal short-term HRV based on SDNN reflects another component of HRV and—across all sleep stages—has been described in most but not all studies as longer in OSA compared to patients not having OSA (42–45).

In our study, the association between sleep fragmentation and SDNNIDX and LF/HF ratio were positive, suggesting an increased overall nocturnal HRV and a change in nocturnal autonomic function towards sympathetic activity. However, SDNNIDX was relatively low with 52 ms. Based on data from 24-hour-HRV analysis, it was suggested that an SDNN <50 ms is to be considered unhealthy, while an SDNN >100 ms reflects healthy (41). However, population means for SDNN and LF/HF ratio have been described as  $50 \pm 16$  and  $2.8 \pm 2.6$  ms, respectively (46).

The finding that only arousal-based measures of OSA severity were independently associated with HRV but not measures of nocturnal hypoxaemia or intermittent hypoxaemia was not in line with the hypothesis of an independent association between intermittent hypoxaemia and HRV. Whether this finding is only applicable to this cohort of patients with mild to moderate OSA with a minor hypoxic burden or also to cohorts with more pronounced nocturnal hypoxaemia needs to be further studied. However, other data support that HRV is closer related to autonomic arousals than to intermittent hypoxaemia. Supplemental oxygen resulted in a significant reduction of a rise in morning blood pressure compared to sham using a CPAP therapy withdrawal model in OSA. However, attenuation of intermittent hypoxaemia resulted only in a neglectable (although statistically significant) reduction of the pulse rise index—a pulse oximetry-derived measure of HRV that is used as surrogate marker of autonomic arousals (47). The findings of this randomised controlled cross-over trial support that autonomic control of the cardiovascular system is affected by several different

pathophysiological consequences and also supports that HRV might be closer related to arousal-mediated autonomic disturbance than to intermittent hypoxaemia-mediated sympathetic overdrive (47).

This study has several limitations. The main limitation of this cross-sectional analysis is that the study population (derived from a general population sample with an AHI  $\geq 10$ /h, not a sleep clinic population) consists mainly of patients with mild-to-moderate OSA. An OSA population with more cases with severe OSA and a relevant hypoxic burden would be more suitable to study the association between the pathophysiological constraints of OSA and nocturnal HRV. Another limitation is that ODI was not available and that the AHI including only hypopnoeas based on 3%-desaturations and not on arousals had to be used as surrogate. In addition, intrathoracic pressure changes, which presumably affect HRV, were not assessed—however, oesophageal pressure is not a standard clinical assessment in OSA. HRV data were only available in a subset of the study population of the Sleep Heart Health Study, thus limiting the sample size for this analysis to a few hundreds. These limitations affect generalisability to a typical OSA population. Moreover, the statistical analysis revealed some degree of heteroscedasticity in the regression models.

We summarise that based on our findings, sleep fragmentation seems to have a relevant pathophysiological effect on HRV among patients with OSA. Moreover, nocturnal hypoxaemia *per se* appears not to account for an increased HRV in this OSA population with, however, only a limited hypoxic burden. Nevertheless, intermittent hypoxaemia might affect HRV by triggering arousals. In line with our findings, arousal index was demonstrated to be stronger associated with sympathetic overactivity than the AHI in other cohort studies involving patients with OSA (48).

Considering the interplay between OSA and autonomic cardiac dysfunction, the integration of HRV analysis in the diagnostic work-up of OSA might help to understand the role of sleep disordered breathing in autonomic dysregulation and support treatment recommendations for OSA. It has been shown that treatment of OSA by CPAP or hypoglossal nerve stimulation reduces the elevated HRV during sleep and that effective treatment of OSA reduces sympathetic override (49,50). The impact of this reduction on vascular outcomes in OSA remains to be further analysed.

## Conclusions

Among patients with OSA, sleep fragmentation is associated

with an increased HRV and a shift of the sympatho-vagal balance to sympathetic dominance. This is important since it is well known that sympathetic overactivity results in increases in nocturnal and daytime blood pressure, one of the most important predictors of long-term cardiovascular outcomes.

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